

FORM PTO-1449 Commerce (Modified)		U.S. Department of Patent and Trademark Office		Attorney Docket No.: GALA-06415		Serial No.: 09/897,006	
INFORMATION AND PLEASURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicant: Bleck			
				Filing Date: 06/29/01		Group Art Unit: 1636	
	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
<i>MM</i>	88	WO99/14310	PCT				
<i>MM</i>	89	WO 93/03143	PCT				
<i>MM</i>	90	EP 117058					
<i>MM</i>	91	WO 92/01070	PCT				
<i>MM</i>	92	WO 93/03769	PCT				
<i>MM</i>	93	WO 90/03430	PCT				
<i>MM</i>	94	WO 87/00195	PCT				
<i>MM</i>	95	WO 99/43795	PCT				
<i>MM</i>	96	WO 93/02108	PCT				
<i>MM</i>	97	WO 94/24870	PCT				
<i>MM</i>	98	WO 96/11013	PCT				
<i>MM</i>	99	WO 94/05786	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
<i>MM</i>	100	Mielke <i>et al.</i> , Biochem. 35:2239-52 [1996]					
<i>MM</i>	101	Schroder and Friedl, Biotech. Bioeng. 53(6):547-59 [1997]					
<i>MM</i>	102	Weidle <i>et al.</i> , Gene 66:193-203 [1988]					
<i>MM</i>	103	McBurney <i>et al.</i> , Somatic Cell Molec. Genet. 20:529-40 [1994]					
<i>MM</i>	104	Allen <i>et al.</i> , Plant Cell 5:603-13 [1993]					
<i>MM</i>	105	Mehtali <i>et al.</i> , Gene 91:179-84 [1990]					
<i>MM</i>	106	Kricker <i>et al.</i> , Proc. Natl. Acad. Sci. 89:1075-79 [1992]					
<i>MM</i>	107	Dorer and Henikoff, Cell 77:993-1002 [1994]					
<i>MM</i>	108	Anderson and Young, Quantitative Filter Hybridization, <i>in Nucleic Acid Hybridization</i> [1985]					
<i>MM</i>	109	Sambrook, J. <i>et al.</i> , <i>Molecular Cloning: A Laboratory Manual</i> , 2nd ed., Cold Spring Harbor Laboratory Press, New York (1989) pp.16.9-16.15					
<i>MM</i>	110	Maniatis <i>et al.</i> , Science 236:1237 [1987]					
<i>MM</i>	111	Voss <i>et al.</i> , Trends Biochem. Sci., 11:287 [1986]					
<i>MM</i>	112	Dijkema <i>et al.</i> , EMBO J. 4:761 [1985]					
Examiner:	<i>M. Mannich</i>			Date Considered:			<i>4/17/03</i>
AMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

TECH CENTER 1600/2000
 MAR 19 2003
RECEIVED

MAR 13 2003

FORM PTO-144 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: GALA-06415	Serial No.: 09/897,006
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: Bleck	
				Filing Date: 06/29/01	Group Art Unit: 1636
(37 CFR § 1.98(b))					
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)					
113	Uetsuki <i>et al.</i> , J. Biol. Chem., 264:5791 [1989]				
114	Kim <i>et al.</i> , Gene 91:217 [1990]				
115	Mizushima and Nagata, Nuc. Acids. Res., 18:5322 [1990]				
116	Gorman <i>et al.</i> , Proc. Natl. Acad. Sci. USA 79:6777 [1982]				
117	Boshart <i>et al.</i> , Cell 41:521 [1985]				
118	Sambrook <i>et al.</i> , <i>Molecular Cloning: A Laboratory Manual</i> , 2nd ed., Cold Spring Harbor Laboratory Press, New York [1989], pp. 16.7-16.8				
119	Zwizinski <i>et al.</i> , J. Biol. Chem. 255(16): 7973-77 [1980]				
120	Gray <i>et al.</i> , Gene 39(2): 247-54 [1985]				
121	Martial <i>et al.</i> , Science 205: 602-607 [1979]				
122	Shelling and Smith, Gene Therapy 1:165-169 [1994]				
123	Zhou <i>et al.</i> , J. Exp. Med. 179:1867-1875 [1994]				
124	Han <i>et al.</i> , Proc. Natl. Acad. Sci. USA 88:4313-4317 [1991]				
125	Uhlmann <i>et al.</i> , Chem. Rev. 90:543-584 [1990]				
126	Helene <i>et al.</i> , Biochim. Biophys. Acta. 1049:99-125 [1990]				
127	Agarwal <i>et al.</i> , Proc. Natl. Acad. Sci. USA 85:7079-7083 [1989]				
128	Heikkila <i>et al.</i> , Nature 328:445-449 [1987]				
129	Cech <i>et al.</i> (1992) J. Biol. Chem. 267:17479-17482				
130	Kotin, Human Gene Therapy 5:793-801 [1994]				
131	Berns, K.I. "Parvoviridae and their Replication" in Fundamental Virology, 2nd Edition, (B.N. Fields and D.M. Knipe, eds.)				
132	Ullrich and Schlessinger, Cell 61:203-212 [1990]				
133	Neer, 1995, Cell 80:249-257 [1995]				
134	Sternweis and Smrcka, Trends in Biochem. Sci. 17:502-506 [1992]				
135	Carbonneau, H. and Tonks, Annu. Rev. Cell Biol. 8:463-93 [1992]				
136	Saito <i>et al.</i> , Cell Growth and Diff. 2:59-65 [1991]				
137	Krueger <i>et al.</i> , Proc. Natl. Acad. Sci. USA 89:7417-7421 [1992]				
138	Köhler and Milstein, Nature 256:495-497 [1975]				
139	Kozbor <i>et al.</i> Immunol. Today 4:72 [1983]				
140	Cole <i>et al.</i> , in <i>Monoclonal Antibodies and Cancer Therapy</i> , Alan R. Liss, Inc., pp. 77-96 [1985]				
141	Huse <i>et al.</i> , Science 246:1275-1281 [1989]				
142	deWet <i>et al.</i> , Mol. Cell. Biol. 7:725 [1987]				
143	Graham <i>et al.</i> , J. Gen Virol., 36:59 [1977]				
144	Mather, Biol. Reprod. 23:243-251 [1980]				
145	Mather <i>et al.</i> , Annals N.Y. Acad. Sci., 383:44-68 [1982]				
Examiner:	<i>M. Manich</i>		Date Considered:	4/17/03	
EXAMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

TECH CENTER 1600/2000

MAR 10 2003

RECEIVED

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	
		Attorney Docket No.: GALA-06415 Serial No.: 09/897,006	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)		Applicant: Bleck	
(37 CFR § 1.98(b))		Filing Date: 06/29/01	Group Art Unit: 1636

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

MM	146	Evans <i>et al.</i> , <i>Handbook of Plant Cell Culture</i> , 1: 124-176, MacMillan Publishing Co., New York [1983]
MM	147	Binding, <i>Plant Protoplasts</i> , p. 21-37, CRC Press, Boca Raton [1985]
MM	148	Potrykus and Shillito, <i>Methods in Enzymology</i> , Vol. 118, Plant Molecular Biology, A. and H. Weissbach eds., Academic Press, Orlando [1986]
MM	149	Hoess <i>et al.</i> , <i>Nucleic Acids Res.</i> 14:2287-2300 [1986]
MM	150	O'Gorman <i>et al.</i> , <i>Science</i> 251:1351-55 [1991]
MM	151	van Deursen <i>et al.</i> , <i>Proc. Natl. Acad. Sci. USA</i> 92:7376-80 [1995]
MM	152	Miller and Baltimore Mol. Cell. Biol. 6:2895 [1986]
MM	153	Markowitz <i>et al.</i> , <i>J. Virol.</i> 62:1120 [1988])
MM	154	Miller <i>et al.</i> , <i>Mol. Cell. Biol.</i> 10:4239 [1990]
MM	155	Miller and Rosman, <i>BioTechniques</i> 7: 980 [1980]
MM	156	Miller, <i>Nature</i> 357: 455 [1990]
MM	157	Adams <i>et al.</i> , <i>Proc. Natl. Acad. Sci. USA</i> 89:8981 [1992]
MM	158	Mastromarino <i>et al.</i> , <i>J. Gen. Virol.</i> 68:2359 [1977]
MM	159	Burns <i>et al.</i> <i>Proc. Natl. Acad. Sci. USA</i> 90:8033 [1993]
MM	160	Roe <i>et al.</i> , <i>EMBO J.</i> 12:2099 [1993]
MM	161	Naldini <i>et al.</i> , <i>Science</i> 272:263 [1996]
MM	162	Carter, B.J., <i>Current Opinion in Biotechnology</i> 3:533-539 [1992]
MM	163	de la Cruz <i>et al.</i> , <i>J. Bact.</i> 175: 6932-38 [1993]
MM	164	Craig, <i>Curr. Topics Microbiol. Immunol.</i> 204: 27-48 [1996]
MM	165	Morisato and Kleckner, <i>Cell</i> 51:101-111 [1987]
MM	166	Cleveland <i>et al.</i> , <i>J. Immunol. Methods</i> (1983)56:221-234 [1983] <i>Animal Cell Culture: A Practical Approach</i> 2nd Ed., Rickwood, D. and Hames, B. D., eds. Oxford University Press, New York [1992]]
MM	167	Wilson <i>et al.</i> , <i>Cell</i> , 37:767 [1984]
MM	168	Schroeder and Neagle, <i>J. Biomol. Screening</i> 1:75-80 [1996]
MM	169	Denyer <i>et al.</i> , <i>Drug Discov. Today</i> 3:323-32 [1998]
MM	170	Gonzales <i>et al.</i> , <i>Drug. Discov. Today</i> 4:431-39 [1999]
MM	171	Graham and Van der Eb, <i>Virology</i> 52:456 [1973]

Examiner:

M. March

Date Considered:

4/1/03

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED
TECH CENTER 1600/2000
MAR 10 2003

FORM PTO-1449
(Modified)

MAR 13 2003

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: GALA-06415

Serial No.: 09/897,006

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Bleck

Filing Date: 06/29/01

Group Art Unit: 1636

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

MM	172 ✓ Goff <i>et al.</i> , J. Virol. 38:239 [1981]
MM	173 ✓ Quade, Virol. 98:461 [1979]
MM	174 ✓ Yee <i>et al.</i> , Meth. Cell Biol. 43:99 [1994]
MM	175 ✓ Chen and Okayama (Mol. Cell. Biol., 7:2745, 1987)
MM	176 ✓ Shillito, <i>et al.</i> , Plant Cell Reports, 2, 244-247 (1983)
MM	177 Harlow and Lane, <i>Antibodies: A Laboratory Manual</i> , Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY copyright page 1 cover page 2
MM	178 Brun <i>et al.</i> , Intervirol, 38:274 (1995)
MM	179 ✓ Masters <i>et al.</i> , Virol. 171:285 (1990)
MM	180 ✓ Mebatsion <i>et al.</i> , J. Virol. 69:1444 (1995)
MM	181 ✓ Scheper <i>et al.</i> , Biochem. 76: 801-809 [1994]
MM	182 ✓ Meyer <i>et al.</i> , J. Virol. 69: 2819-2824 [1995]
MM	183 ✓ Jang <i>et al.</i> , 1988, J. Virol. 62: 2636-2643 [1998]
MM	184 ✓ Haller <i>et al.</i> , J. Virol. 66: 5075-5086 [1995]
MM	185 ✓ Lebkowski <i>et al.</i> , Molec. Cell. Biol. 8:3988-3996 [1988]
MM	186 ✓ Vincent <i>et al.</i> , Vaccines 90 [1990]
MM	187 ✓ Carter, Current Opinion in Biotechnology 3:533-539 [1992]
MM	188 ✓ Muzyczka, Current Topics in Microbiol. and Immunol. 158:97-129 [1992];
MM	189 ✓ Rogers <i>et al.</i> , Strategies 13:97-99 (2000)
MM	190 ✓ Felts <i>et al.</i> , Strategies 13:15-18 (2000)
MM	191 ✓ Felts <i>et al.</i> , Strategies 12:74-77 (1999)
MM	192 ✓ Poul <i>et al.</i> , Immunotechnology, Elsevier Science Publishers BV, NL 1:189-196 (1995)
MM	193 ✓ Zufferey <i>et al.</i> , J. of Virology 73:2886-2892 (1999)
MM	194 ✓ Wang <i>et al.</i> , Gene 182:145-150 (1996)
MM	195 ✓ Vaillancourt <i>et al.</i> , Strategies 13:50-53 (2000)
	196 Han <i>et al.</i> , PNAS 88:4313-4317 (1991) → <i>duplicately</i>

W/Marwick 4/17/03

RECEIVED
TECH CENTER 1600
MAR 16 2003



Hulsey
#11

Sheet 1 of 7
M A R 1 9 2003

TECH C

FORM PTO-143
Commerce
(Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

U.S. Department of
Patent and Trademark Office

Attorney Docket No.: GALA-06415

Serial No.: 09/895,086

Applicant: Bleck

M. Marich

Filing Date: 06/29/01

Group Art Unit: 163 (100-1200)

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
MM	1	5,721,121	2/24/98	Etcheverry et al.	435	69.7	6/6/95
MM	2	5,914,267	6/22/99	Mertz et al.	435	320.1	7/2/97
MM	3	5,686,120	11/11/97	Mertz et al.	435	320.1	5/22/95
MM	4	6,136,597	10/24/2000	Hope et al.	435	325	9/18/97
MM	5	5,994,136	11/30/99	Naldini et al.	435	455	12/21/97
MM	6	6,013,516	1/11/2000	Verma et al.	435	325	10/6/95
MM	7	6,027,722	2/22/2000	Hodgson	424	93.21	3/14/94
MM	8	5,958,775	9/28/99	Wickstrom et al.	435	455	7/23/98
MM	9	5,968,785	10/19/99	Devine et al.	435	91.41	2/6/97
MM	10	5,965,443	10/12/99	Reznikoff et al.	435	473	9/9/96
MM	11	5,719,055	2/17/98	Cooper	435	32-/1	6795
MM	12	5,173,414	12/22/92	Lebkowski et al.	435	172.3	10/30/90
MM	13	5,225,347	7/6/93	Goldberg et al.	435	320.1	3/19/90
MM	14	6,034,228	3/7/2000	Norris et al.	536	23.1	6/25/99
MM	15	6,030,822	2/29/2000	Lechner et al.	435	194	6/2/95
MM	16	6,030,788	2/29/2000	Gerhold	435	6	2/10/99
MM	17	6,020,306	2/1/2000	Boyd et al.	514	2	9/18/96
MM	18	6,013,455	1/11/2000	Bandman et al.	435	6	10/15/98
MM	19	6,013,464	1/11/2000	Abo et al.	435	15	8/22/97
MM	20	6,015,807	1/18/2000	Engel et al.	514	183	11/7/97
MM	21	5,976,853	11/2/99	Guthridge et al.	435	196	3/21/97
MM	22	5,994,074	11/30/99	Beach et al.	435	6	5/9/97
MM	23	6,004,791	12/21/99	Aoki et al.	435	194	10/16/97
MM	24	5,981,251	11/9/99	Ullrich et al.	435	196	5/23/95
MM	25	5,976,852	11/2/99	Cheng et al.	435	196	12/19/96
MM	26	5,958,719	9/28/99	Ullrich et al.	435	21	11/6/99
MM	27	5,955,592	9/21/99	Ullrich et al.	536	23.2	4/27/98
MM	28	5,952,212	9/14/99	Moller et al.	435	194	5/24/95
MM	29	4,946,778	8/7/90	Ladner et al.	435	69.6	1/19/89
MM	30	6,074,859	6/13/2000	Hirokawa et al.	435	189	7/8/98
MM	31	5,976,796	11/2/99	Szalay et al.	435	6	12/23/96
MM	32	5,674,713	10/7/97	McElroy et al.	435	69.7	6/2/95
MM	33	5,618,682	4/8/97	Scheirer	435	8	2/8/94

Examiner: *M. Marich*

Date Considered: *4/17/03*

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-144 Commerce (Modified)		U.S. Department of Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))	Attorney Docket No.: GALA-06415		Serial No.: 09/897,006
			Applicant: Bleck		
			Filing Date: 06/29/01	Group Art Unit:	

RECEIVED
MAY 16 2003
TECH CENTER
1600
USPTO

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date
MM	34	5,627,058	5/6/97	Ruley et al.	435	172.3	7/27/94
MM	35	4,937,190	6/26/90	Palmenberg et al.	435	69.1	10/15/87
MM	36	5,168,062	12/1/92	Stinski	435	240.2	9/10/90
MM	37	5,385,839	1/31/95	Stinski	435	240.2	6/17/92
MM	38	5,858,740	1/12/99	Finer et al.	435	172.3	5/10/95
MM	39	5,948,675	9/7/99	Klatzmann et al.	435	320.1	10/4/96
MM	40	6,051,427	4/18/2000	Finer et al.	435	369	8/21/95
MM	41	6,255,071	7.3/01	Beach et al.	435	69.1	3/19/97
MM	42	6,333,195	12/24/01	Respass et al.	435	456	1/7/2000
MM	43	6,410,316	5/25/02	Sheridan et al.	435	320.1	3/165/2000
MM	44	6,331,415	12/18/01	Cabilly et al.	435	69.6	6/10/88
MM	45	4,816,567	3/28/89	Cabilly et al.	530	387	4/8/83
MM	46	6,319,707	11/20/01	Adam et al.	435	320.1	1/26/93
MM	47	6,368,862	4/9/02	Palmer et al.	435	455	3/4/92
MM	48	4,937,190	6/26/90	Palmenberg et al.	435	69.1	10/15/87
MM	49	6,061,427	5/9/2000	Ryoo	379	1	6/30/98
MM	50	5,834,256	11/10/98	Finer et al.	435	91.33	6/11/93
MM	51	5,686,279	11/11/97	Finer et al.	435	172.3	6/10/94
MM	52	5,817,491	10/6/98	Yee et al.	435	172.3	12/22/94
	53	5,591,624	1/7/97	Barber et al.	435	240.2	11/23/93
	54	5,716,832	2/10/98	Barber et al.	435	172.3	6/5/95
	55	6,080,912	6/27/2000	Bremel et al.	800	23	3/20/97
	56	6,291,740	9/18/01	Bremel et al.	800	23	6/10/99
+	57	5,215,904	6/1/93	Gould et al.	435	172.3	1/27/89
MM	58	5,922,601	7/13/99	Baetscher et al.	435	456	9/16/96
MM	59	4,677,066	6/30/87	Takahashi et al.	435	172.2	12/23/83
MM	60	5,149,645	9/22/92	Hoekema et al.	435	172.3	12/5/89
MM	61	5,508,184	4/16/96	Negrutiu et al.	435	172.3	2/27/90
MM	62	5,914,267	6/22/99	Mertz et al.	435	320.1	7/2/97
MM	63	6,025,192	2/15/2000	Beach et al.	435	320.1	9/20/96
MM	64	5,512,421	4/30/96	Burns et al.	435	320.1	8/10/93
MM	65	5,994,136	11/30/99	Naldini et al.	435	455	12/12/97

Examiner:66

M. Mannich

Date Considered:

4/17/03

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

MAR 13 2003

FORM PTO-1469 Commerce (Modified)				U.S. Department of Patent and Trademark Office		Attorney Docket No.: GALA-06415 Serial No.: 09/897,006	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicant: Bleck			
				Filing Date: 06/29/01		Group Art Unit: 1636	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Serial / Patent Number	Issue Date	Applicant / Patente	Class	Subclass	Filing Date
MW	66	6,187,287	2/13/01	Leung <i>et al.</i>	424	9.1	8/3/98
MW	67	5,139,941	8/18/92	Myzyczka <i>et al.</i>	435	172.3	10/25/91
MW	68	5,843,742	12/1/98	Natsoulis <i>et al.</i>	435	172.3	9/8/95
MW	69	5,866,400	2/2/99	Palsson <i>et al.</i>	435	235.1	3/21/97
MW	70	4,767,704	8/30/88	Cleveland <i>et al.</i>	435	68	10/7/83
MW	71	4,657,866	4/14/87	Kumar	435	240	9/13/84
MW	72	4,927,762	5/22/90	Darfler	435	240.31	11/30/88
MW	73	5,122,469	6/16/92	Mather <i>et al.</i>	435	240.2	10/3/90
MW	74	4,560,655	12/24/85	Baker	435	241	12/16/82
MW	75	5,670,113	9/23/97	Akong <i>et al.</i>	422	63	6/20/94
MW	76	5,807,689	9/15/98	Daggett <i>et al.</i>	435	78	6/6/95
MW	77	5,876,946	3/2/99	Burbaum <i>et al.</i>	435	7.1	6/3/97
MW	78	6,027,875	2/22/2000	Weinberger	435	6	12/20/91
MW	79	5,512,443	4/30/96	Schlom <i>et al.</i>	435	7.23	7/2/90
MW	80	5,993,813	11/30/99	Mezes <i>et al.</i>	424	133.1	3/24/97
MW	81	5,892,019	4/6/99	Schlom <i>et al.</i>	536	23.53	9/1/94
MW	82	5,874,540	2/23/99	Hensen <i>et al.</i>	530	387.3	10/5/94
MW	83	5,850,000	12/15/98	Bleck <i>et al.</i>	800	2	3/22/96
MW	84	5,686,120	11/11/97	Mertz <i>et al.</i>	435	320.1	5/22/95
MW	85	2001/0018203		Iba <i>et al.</i>	435	235.1	3/18/2001
MW	86	2001/0043921		Ginzburg <i>et al.</i>	424	93.21	12/29/2000
MW	87	2002/0034393		Mitrophanous	3916	461	5/18/2001
Examiner:	<i>MW mani ph</i>			Date Considered:	<i>4/17/03</i>		
EXAMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

RECEIVED
TECH CENTER 1600/2900
MAR 19 2003